Electronic Acknowledgement Receipt				
EFS ID:	4628057			
Application Number:	10679054			
International Application Number:				
Confirmation Number:	1616			
Title of Invention:	Enhanced premium equity participating securities			
First Named Inventor/Applicant Name:	Kevin G. Woodruff			
Customer Number:	26285			
Filer:	Mark G. Knedeisen/Mona Cornelia			
Filer Authorized By:	Mark G. Knedeisen			
Attorney Docket Number:	030676			
Receipt Date:	16-JAN-2009			
Filing Date:	03-OCT-2003			
Time Stamp:	15:34:23			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1920
RAM confirmation Number	981
Deposit Account	111110
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Miscellaneous Incoming Letter	Amendment_Transmittal_01_1 6_2009.pdf	252333	no	4	
		800447dc5fd7fb5sc42bs4c771eb1675033 d25f7			
Warnings:					
Information:					
2		Response_to_OA.pdf	52759	yes	13
2			01e7f3681650f1e2e896a09196f48d3a46a4 2f39		
	Multip	art Description/PDF files in .	zip description		
	Document Description Amendment Submitted/Entered with Filling of CPA/RCE Claims		Start	End	
117			1	1	
			2	8	
	Applicant Arguments/Remarks Made in an Amendment		9	13	
Warnings:					
Information:					
Request for Continued Examination (RCE)	RCE_Transmittal.pdf	150525	no	1	
	(NCE)		71be6823a508e54b7c5ca08ba6385d12063 327e2		
Warnings:					
This is not a USP	TO supplied RCE SB30 form.				
Information:					
4 Fee Worksheet (PTO-06)	fee-info.pdf	32006	no	2	
		d0908b86198070(25b83adu56b38229/951 0d302			
Warnings:	<u> </u>				
Information:					
		Total Files Size (in bytes)	48	7623	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-[d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810). A Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.